

July 1, 2021

MEMO TO: BUS OPERATIONS SUBCOMMITTEE

FROM: Rufus E. Cayetano – Director, Budget; Local Programming

SUBJECT: FY 2022 TRANSPORTATION DEVELOPMENT ACT (LTF & STAF)
CLAIM FORMS

Attached are the guidelines for preparing the Fiscal Year 2022 TDA claim forms for Local Transportation Funds (LTF - Article 4) and State Transportation Assistance Funds (STA - Article 6.5).

Please call me at (213) 922-2379 if you need any help.

Attachment

FY 2022 TDA/STA CLAIM INSTRUCTIONS
Page I.

FISCAL YEAR 2022

TRANSPORTATION DEVELOPMENT ACT PROGRAM
INSTRUCTIONS FOR PREPARING PUBLIC TRANSPORTATION CLAIMS

General Information

These guidelines pertain to claims filed for public transportation purposes under the following categories:

Local Transportation Fund (LTF)

Article 4, PUC Section 99260(a), for support of public transportation systems; and

State Transit Assistance Fund (STAF)

Article 6.5, PUC Section 99315, for operators, cities and counties eligible to receive Article 4, 4.5 or 8c in meeting public transportation needs.

When filling out claim forms, claimants should refer to sections of Caltrans' TDA Statutes and California Codes of Regulations, April 2013

When and Where to File

The attached claim forms may be used to file claims for Local Transportation Funds and/or State Transit Assistance Funds as follows:

Local Transportation Funds (LTF)

Claimants in Los Angeles County should submit a claim with an original signature to the attention of Rufus E. Cayetano at Los Angeles County Metropolitan Transportation Authority, One Gateway Plaza Los Angeles, CA 90012-2952 (Mail Stop 99-04-4), no later than September 30, 2022. Claims will be processed in the order in which they are received.

State Transit Assistance Funds (STAF)

Claimants should submit claim forms for STA funds to the address noted above.

FY 2022 TDA/STA CLAIM INSTRUCTIONS

Page ii.

ITEMS TO BE FILED

1. **STATEMENT OF ASSURANCES**

Each claim must include a copy of the "Standard Assurances for Applicants". Applicable paragraphs should be initialed and signed by the authorizing party.

2. **CLAIM FORM**

A claim form (Pages 1 and 2, LTF and STAF) is required for each request of Local Transportation Funds and State Transit Assistance Funds. Information should be provided as follows:

Claimant: Enter the name of the jurisdiction or transit operator filing the claim.

Contact Person: The name and phone number of the person to be contacted regarding information contained in the claim.

Date: Enter the month, day and year the claim is signed.

Payment Recipient: Enter the address and to whose attention the County Auditor should transmit payments.

Purpose: All TDA claims will be Article 4, PUC Section 99260(a). For STA claims, check the applicable regulation. Refer to Caltrans' TDA Statutes and California Codes of Regulations for appropriate statute or regulation.

Detail of Requested Payment: Enter the amounts requested for operating, capital, and capital reserves after completion of table 2.

Authorizing Signature: The claim must contain an original signature of the claimant's Chief Financial Officer or Chief Administrative Officer. Please include name and title. Enter the name of the jurisdiction or transit operator and the transit mode (e.g., fixed route, dial-a-ride) on each table.

3. **HISTORICAL AND PROJECTED FINANCIAL STATUS- TABLE L- 1**

These financial statements are applicable to LTF and STAF claims and must be included with all requests for funds.

FY 2022 TDA/STA CLAIM INSTRUCTIONS

Page iii.

All line items on the statements are consistent with the State Controller's Uniform System of Accounts for Public Transit Operators, and claimants should refer to the State Controller's system for definitions.

Figures entered in the "Audited" column (FY 2020) must correlate to the FY 2020 Fiscal and Compliance Audit figures. Figures for the "Actual" column must be an annualized estimate of FY 2021, based on claimant's records up to the time the statement is prepared, or upon audited figures for the claim year, if available.

INSTRUCTIONS FOR SELECTED LINE ITEMS ON TABLE 1 ARE LISTED BELOW. PLEASE NOTE THAT ALL CLAIMANTS ARE REQUIRED TO SUBMIT TABLE L-1 FOR EACH MODE OF TRANSIT SERVICE AND FOR THE SYSTEM TOTAL.

SOURCES OF FUNDS FOR CAPITAL: This part of Table L-1 depicts sources of funds made available for capital purposes only.

OPERATORS ARE NOT PERMITTED TO HOLD CAPITAL FUNDS IN THEIR OWN ACCOUNTS; ANY EXCESS ALLOCATIONS SHOULD BE RETURNED TO THE COUNTY AUDITOR AND REPROGRAMMED OR RE-RESERVED AS AUTHORIZED BY MTA. OPERATORS ARE REQUIRED TO MAINTAIN DETAILED ACCOUNTING BY PROGRAM YEAR FOR ALL CAPITAL PROJECTS.

TDA/STA CLAIM FORMS: Operators may apply TDA Art 4 funds to operating and/or capital. The amount of the allocation applied to operating should correspond with Payment from Unallocated -Operations (item #1 on the claim form). Item #2 is the amount that the operator requests to place in capital reserve from the current years allocation and should correspond with the total capital funds reserved for future payment in Table 2. Item #3 is the Total FY 2022 fund mark and is the sum of #1 and #2.

TDA/STA CAPITAL ALLOCATION Table L-1: The top portion of this form represents the TDA/STA, Proposition A and C funds that the operator annually decides to allocate to capital. MTA administrative practice requires that all TDA capital funds be placed in reserve until the operator submits a written request to MTA for drawdown. Secondly, operators must meet the annual eligibility test to apply STA funds to operating, however they may apply these funds to capital projects for that year. The amount entered on Table L- 1 (Source of Capital Funds for TDA/STA) as the FY 2023 planned capital allocation, should correspond with the amount entered on line 2 of the TDA/STA Claim form and the amount reserved for future payment on Table 2. (sum of columns A &B). Only Prop A Discretionary funds that are carried over from a prior year are allowed to be applied to capital expenses.

FY 2022 TDA/STA CLAIM INSTRUCTIONS

Page iv.

TDA/STA TABLE 2. Operators are required to identify the capital projects that are to be reserved for payment in FY 2023 or future years. Enter the name of the capital project and the amount of the anticipated expenditure. This should also be supported with documentation in the operators Short Range Transit Plan and Transit Improvement Program (TIP). Identify the planned expenditure in FY 2023 and the amount planned for expenditure in future years. **Prop A & C Discretionary Capital projects should be separately identified in the TIP sheet and Short Range Transit Plan.**

SOURCES OF FUNDS FOR OPERATING:

SOURCES OF FUNDS FOR OPERATING: This part of Table L-1 depicts sources of funds made available for operating purposes only, some of which are explained below.

TDA CARRYOVER - PRIOR YEAR: This represents unspent TDA funds received in prior years for operations. Because Proposition A Discretionary funds are to be the last funds spent, this line should always be zero. ONLY CLAIMANTS WHO DID NOT RECEIVE PROPOSITION A DISCRETIONARY FUNDS (LINE 30) SHOULD HAVE TDA CARRYOVER, AS MTA POLICY ALLOWS EXPENDITURE OF DISCRETIONARY FUNDS ONLY AFTER THE EXPENDITURE OF ALL OTHER SUBSIDIES.

TDA CURRENT FROM UNALLOCATED: Amounts entered on this line represent total LTF operating payments received or requested by the claimant for each year. The amount entered on Table 1 should correspond to the TDA claim form Item #1.

STA CURRENT FROM UNALLOCATED: Amounts entered on this line represent STA payments received or requested for claims filed in a particular fiscal year. *(Operators must have passed the annual eligibility test to apply STA funds to operating).* The amount entered on Table L- 1 should correspond to the STA claim form Item #1.

PASSENGER FARES: Passenger fares include farebox revenues and those Proposition A Local Return Special Fare Assistance monies received on a per-passenger basis that qualify as fare revenue. Proposition A Local Return funds included in this category should be noted at the bottom of Table 1.

PROPOSITION A DISCRETIONARY GRANT: Amounts entered on this line represent Prop. A monies received by Article 4 operators from MTA under a Memorandum of Understanding (MOU). If funds are shown in FY 2020 complete the Proposition A Discretionary Worksheet supplement enclosed in this package (Table 4).

FY 2022 TDA/STA CLAIM INSTRUCTIONS

Page v.

PROPOSITION A LOCAL RETURN: Amounts entered on this line represent Prop. A monies received by any operator from a municipality under the Local Return Program, exclusive of those that qualify as fare revenues.

PROPOSITION A INCENTIVE FUND: Amounts entered on this line represent Prop. A monies received by any operator from a municipality under the Incentive Program.

OTHER LOCAL - PROP. A EXCHANGES: Profit earned or funds lost as a result of Proposition A Local Return fund exchanges should be noted here, as well as any other local subsidies not covered by one of the above categories.

PROPOSITION C LOCAL RETURN: Amounts entered on this line reflect estimates of Prop. C funds in Operators' budget during FY 2022.

PROPOSITION C DISCRETIONARY GRANT: Amounts entered on this line represent Prop. C monies received by any operator from a municipality under the Local Return Program.

SUBTOTAL OPERATING REVENUES: Operators should enter the sum of Lines 16 through 35.

TOTAL OPERATING EXPENSES: This amount reflects total operating expenses, excluding depreciation and amortization, and must correlate to the fiscal audit for FY 2020. Any excess of expenses over revenues will have the net effect of reducing eligibility for funds for the following fiscal year(s). FY 2022 planned operating expenses must equal Line 36 - Subtotal Operating Revenues. Multimodal operators should use a separate Table 1 to show operating expenses for each mode, and should include an additional Table 1 to summarize Total System Expenses. Indicate the mode at the top of each table.

4. **GOVERNING BODY AUTHORIZATION**

Each claim must include a copy of a resolution or minute order in which the claimant's governing body authorizes the claim and approves the basic purpose for which it is being filed.

5. **JUSTIFICATION STATEMENTS**

Justification statements must accompany the claim when either: a) the operating budget indicates an increase of over 15 percent from the preceding fiscal year; or b) a major capital project (e.g., over \$100,000) is to be funded without federal funds.

FY 2022 TDA/STA CLAIM INSTRUCTIONS

Page vi.

6. PROPOSED COMMITMENT STATEMENT

When it is requested that funds be reserved for long-term capital projects, the claimant must submit a description of the project. The S RTP description will suffice for this requirement.

7. EMPLOYEE RETIREMENT STATEMENT (Article 4 only)

A statement must accompany the claim, signed by the claimant's Chief Financial Officer or a Certified Public Accountant, certifying that the current cost of the operator's retirement system is fully funded.

8. PROOF OF FUNDING OBLIGATION (Article 4 only)

When requesting drawdown of funds reserved for major capital projects, tangible evidence of a legal commitment to expend these funds (invoice, purchase order or contract, or schedule of projects with Board resolution) must be submitted. This may be done quarterly.

9. COOPERATIVE AGREEMENT OR CONTRACT

Claims filed through cooperative agreements and joint powers agreements by a local agency or operator must be accompanied by an executed copy of the agreement, unless a copy is already on file with the MTA.

10. TDA RESERVE FUNDS HELD BY COUNTY AUDITOR

Operators who have TDA (LTF) reserve accounts with the County Auditor must separately complete and submit their drawdown request on a quarterly basis to their respective Project Manager. The request should identify the name of the project, the TIP project identifying number, the amount of the drawdown, the Fiscal Year the funds were programmed and any remaining balance in the project account. The MTA shall pay the request utilizing the first in first out rule. However, operators are responsible to maintain fund balances by project, by Fiscal Year and with identifying number which corresponds to the project in the TIP.

11. TDA RESERVE FUNDS HELD BY OPERATOR

Operators who are, for any reason, holding TDA capital reserves in their own account must complete a form and submit it with their claim to comply with requirements in the Conformance Auditing Guide. UNEXPENDED TDA CAPITAL FUNDS MUST BE RETURNED TO MTA AND MAY BE RE-RESERVED OR REALLOCATED AT MTA'S DISCRETION.

12. **CALIFORNIA HIGHWAY PATROL CERTIFICATION (Pull Notice)**

Operators are required to have their buses inspected by a representative of the California Highway patrol within the past 13 months. A copy of this certificate **must** be submitted with the claim

12. **PROPOSITION A DISCRETIONARY GRANT WORKSHEET (Table 4)**

This table is required to be completed in order to receive Proposition A Discretionary Grant funds. However, only Lines 28 to 33 need to be completed if Table 1 is completed and submitted simultaneously. Instructions for completing Lines 28 to 33 are as follows:

LINE 28 - VEHICLE SERVICE HOURS (VSH): This figure should be consistent with National Transit Data (NTD) and TPM data for the transit mode for which financial statistics are being reported.

LINE 29 - COST PER VSH: This figure is calculated by dividing Line 27 by Line 28.

LINE 30 - PERCENT CHANGE IN COST PER VSH: This figure is calculated by subtracting Line 29 for FY 2020 from Line 29 for FY 2021. The difference is then divided by Line 29 for FY 2021, which will equal the percentage change. If this change is greater than CPI then the claimant should attach an explanation of why the cost per hour is increasing over the CPI.

LINE 32 - 5% OF OPERATING EXPENSES: Line 27 (Total Operating Expenses) multiplied by five percent (.05).

LINE 33 - 25% OF LOCAL RETURN FUNDS: Calculate 25% of the city's Prop. A Local Return allocation for the fiscal year.

THE "MAINTENANCE OF EFFORT" REQUIREMENT IS THE LESSER OF LINES 32 AND 33. LINE 20 (PROPOSITION A LOCAL RETURN) SHOULD BE GREATER THAN OR EQUAL TO THIS AMOUNT.

Public Transportation System Claim

CHECKLIST OF ITEMS FILED

FISCAL YEAR:

CLAIMANT: City of Redondo Beach DATE: September 21, 2021

The items checked below are enclosed in connection with the claim filed herein:

- x 1. **Pages 1 and 2 for TDA and STA**
(See instructions for completing the form in the instruction package).
- x 2. **Financial Statement (Table L-1)**
(A separate table must be completed for each mode. The table should be consistent with the table included in the SRTP.)
- x 3. **Standard Assurances for Claimants**
- x 4. **Governing Body Authorization**
Submit a certified copy of a resolution or minute order.
- N/A 5. **Justification Statements**
- N/A 6. **Proposed Commitment Statement(s)**
Complete when requesting long-term capital reserves.
- X 7. **Employee Retirement System Certification Statement**
- N/A 8. **Proof of Funding Obligation**
Submit tangible evidence of imminent need for capital reserve drawdowns.
- N/A 9. **Cooperative Agreement or Contract**
Submit copies if applicable
- N/A 10. **TDA Reserves Held by County Auditor (Table 2)**
- x 11. **California Highway Patrol Certification (Pull Notice)**
Current within 13 months.

LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY

PUBLIC TRANSPORTATION CLAIM

**STANDARD ASSURANCES FOR APPLICANTS
FOR LOCAL TRANSPORTATION FUNDS (LTF) AND
STATE TRANSIT ASSISTANCE FUNDS (STAF)**

Claimant: City of Redondo Beach **Fiscal Year:** 2022

PLEASE INITIAL ALL APPLICABLE PARAGRAPHS PURSUANT TO WHICH THE CLAIM IS BEING SUBMITTED.

**MTA
TDA
GUIDE
SECTION**

Initial

- | | | |
|--------|--|-------|
| 1. | CERTIFIED FISCAL AND COMPLIANCE AUDIT | _____ |
| 1.8.1 | Claimant certifies that it has submitted a | |
| 1.8.3 | satisfactory, independent fiscal and compliance
audit, with required certification statement, to SCAG, MTA, and the
Department of Transportation, pursuant to PUC Section 99245 and
CAC Section 6664 for the prior fiscal year (project year minus two).
Claimant assures that this audit requirement will be completed for the
current fiscal year (project year minus one), and submitted to MTA no
later than 120 days after the close of the fiscal year. | |
| 2. | 90-DAY ANNUAL REPORT (STATE CONTROLLER'S REPORT) | _____ |
| 1.8.2 | Claimant certifies that it has submitted a State Controller's Report | |
| 1.8.3 | in conformance with the Uniform System of Accounts and Records,
to SCAG, MTA, and the State Controller, pursuant to PUC Section
99243, for the prior year (project year minus two). Claimant assures
that this report will be completed for the current fiscal year (project
year minus one), and submitted no later than 90 days after the close
of the fiscal year. | |
| 3. | PART-TIME EMPLOYEES* | _____ |
| 5.6.2b | Claimant certifies that it is not precluded, by any contract entered
into on or after June 28, 1979, from employing part-time drivers or
contracting with common carriers of persons operating under a
franchise or license. Claimant further certifies that no person who
was a full-time employee on June 28, 1979, shall have his or her
employment, excluding overtime, reduced as a result of it employing
part-time drivers or contracting with those common carriers. (PUC
Section 99314.5c) | |

STANDARD ASSURANCES FOR APPLICANTS

Page 2.

MTA TDA GUIDE SECTION

Initial

- | | | |
|-------|---|-------|
| 4. | FIFTY PERCENT EXPENDITURE LIMITATION | _____ |
| 2.2.1 | Claimant filing a claim pursuant to PUC Section 99268.1 certifies that it was in compliance with PUC Section 99268 during the 1978-79 fiscal year, and further certifies that it will remain in compliance with that section during the project year. | |
| 5. | REVENUE RATIOS FOR OLDER OPERATORS | _____ |
| 2.2.2 | Claimant filing a claim pursuant to PUC Section 99268.2 certifies that it will maintain for the project year that ratio of fare revenues and local support to operating cost which was maintained during the 1978-79 fiscal year, and further assures for the project year that it reasonably anticipates achieving the ratio of fare revenues to operating cost at least equal to the ratio maintained in FY 1978-79, or (a) 20 percent if serving an urbanized area, and (b) 10 percent if serving a nonurbanized area, whichever is greater. | |
| 6. | REVENUE RATIOS FOR NEWER URBANIZED AREA OPERATORS | _____ |
| 2.2.2 | Claimant filing a claim pursuant to PUC Section 99268.3 certifies that it will maintain for the project year at least that ratio of fare revenues and local support to operating cost which was maintained during FY 1978-79 if that ratio was greater than 20 percent; claimant further assures, for the project year, that it reasonably anticipates achieving a ratio of fare revenues to operating cost equal to or greater than 20 percent for the project year. | |
| 7. | REVENUE RATIOS FOR NEWER NON-URBANIZED AREA OPERATORS | _____ |
| 2.2.2 | Claimant filing a claim pursuant to PUC Section 99268.4 certifies that it will maintain for the project year at least that ratio of fare revenues and local support to operating cost which was maintained during FY 1978-79 if that ratio was greater than 10 percent; claimant further assures, for the project year, that it reasonably anticipates achieving a ratio of fare revenues to operating cost equal to or greater than 10 percent. | |
| 8a. | REVENUE RATIOS FOR EXCLUSIVE SERVICES TO ELDERLY AND HANDICAPPED | _____ |
| 2.2.3 | Claimant filing a claim pursuant to PUC Section 99268.5 certifies that, for the purpose of the claim, it provides services using vehicles for the exclusive use of elderly and handicapped persons. | |

STANDARD ASSURANCES FOR APPLICANTS

Page 3.

MTA TDA GUIDE SECTION

Initial

8b.	REVENUE RATIOS FOR PARATRANSIT SERVICES	_____
2.2.3	Claimant filing a claim pursuant to PUC Section 99275.5c (Article 4.5) further certifies that, for the project year, it reasonably anticipates achieving the performance criteria, local match requirements, or fare recovery ratios adopted by MTA.	
9.	EXTENSION OF SERVICE	_____
1.8.4	Claimant that received an allocation of Local Transportation Funds for an extension of service pursuant to PUC Section 99268.8	
2.2.5	certifies that it will file a report of these services pursuant to CAC Section 6633.8b within 90 days after the close of the fiscal year in which that allocation was granted.	
10.	RETIREMENT SERVICE	_____
2.1.7	Claimant filing a claim pursuant to PUC Section 99260 certifies that: (a) the current cost of its retirement system is fully funded with respect to the officers and employees of its public transportation system; or (b) the operator is implementing a plan approved by the transportation planning agency which will fully fund the retirement system for such officers and employees within 40 years; or (c) the operator has a private pension plan which sets aside and invests, or on a current basis, funds sufficient to provide for the payment of future benefits, and which is fully compliant with the requirements stated in PUC Sections 99272 and 99273.	
11a.	USE OF FEDERAL FUNDS	_____
2.2.1	Claimant filing a claim for TDA funds for capital intensive projects pursuant to PUC Sec. 99268.7 certifies that it has made every	
2.2.8	effort to obtain federal funding for any project which is funded pursuant to PUC Sec. 99268.7.	
11b.	Claimant qualifying for funds pursuant to PUC Sec. 99268.1 and	_____
2.2.1d	filing a claim for TDA funds in excess of the amount allowed under PUC Sec. 99268 certifies that such funds are required in order to obtain maximum federal operating funds in the year such funds are claimed, pursuant to PUC Sec. 99267.5 and CAC Sec. 6633.1.	

STANDARD ASSURANCES FOR APPLICANTS

Page 4.

**MTA
TDA
GUIDE
SECTION**

Initial

12. **CHP CERTIFICATION** _____
2.2.9 Claimant filing a claim for Local Transportation Funds has included in the claim a certification completed within the last 13 months by the California Highway Patrol indicating compliance with Vehicle Code Section 1808.1, indicating that the operator has participated in a "pull notice system" to examine driver's records, as specified in PUC Sec. 99251.
13. **STA EFFICIENCY STANDARDS*** _____
Beginning in FY 1992, claimant filing a claim for State Transit Assistance Funds for operating purposes certifies that it has met the efficiency standards which limit cost per hour increases, pursuant to PUC Sec. 99314.6.
14. **REDUCED TRANSIT FARES** _____
Claimants of TDA funds who offer reduced fares to senior citizens certify that: (a) The Federal Medicare Identification Card is sufficient identification to receive senior citizen reduced fares if such fares are available; and (b) A disabled person or disabled veteran identification card issued pursuant to subdivision (d) of Vehicle Code Sections 22511.5 or 22511.9 (whichever is applicable) is sufficient identification to receive disabled person reduced fares if such reduced fares are available.

***STAP Claimants Only.**

City of Redondo Beach
(Legal Name of Applicant/Claimant)

(Authorizing Signature)

Joe Hoefgen, City Manager

(Print Name & Title)

CLAIM FORM

TDA CLAIM FORM

LTF PUBLIC TRANSPORTATION - ARTICLE 4

CLAIMANT:

CONTACT PERSON: City of Redondo Beach TELEPHONE: (310) 318-0670, ext 2670

DATE: September 21, 2021 FISCAL YEAR: 2021-22 COUNTY STAFF: Los Angeles

PAYMENT RECIPIENT: City of Redondo Beach

ADDRESS: 415 Diamond Street, Redondo Beach, CA 90277

ATTENTION: Joyce Rooney, Transit Manager

(Name and Title)

PURPOSE	REQUESTED PAYMENT AND RESERVES	AMOUNT
Article 4 PUC 99260(a)	1. Payment from FY Allocation - Operations	\$ <u>892,947</u>
	2. Amount placed in Capital Reserve from current year allocation (Complete Table 2)	\$ <u>0</u>
	3. Total FY 2022 funding mark (1 + 2)	\$ <u>892,947</u>

Authorized Signature:

(Claimant's Chief Administrator or Finance Officer)

Joe Hoefgen, City Manager

(Print name and title)

CONDITION OF APPROVAL:

Approval of this claim and payment by the County Auditor to this claimant are subject to funds being available and to the provisions that such monies will be used only in accordance with the terms and conditions set forth by this claim.

CLAIM FORM

STATE TRANSIT ASSISTANCE FUND

Pursuant to Sections 6730-6735 of the California Administrative Code

CLAIMANT: City of Redondo Beach

CONTACT PERSON: Joyce Rooney, Transit Manager

TELEPHONE: _____

DATE: September 21, 2021 FISCAL YEAR: 2021-22 COUNTY LTF: Los Angeles

ADDRESS: 415 Diamond Street, Redondo Beach, CA 90277

ATTENTION: Joyce Rooney, Transit Manager

(Name and Title)

PURPOSE	REQUESTED PAYMENT AND RESERVES	AMOUNT
() CAC, Section 6730 (a)	1. Payment from Unallocated-Operations	\$ <u>70,084</u>
	SBI-STA-Operations	\$ <u>52,330</u>
() CAC, Section 6730 (b)		
() CAC, Section 6730 (c)	2. Amount placed in Capital Reserve from current year allocation (Complete Table 2)	\$ <u>0</u>
() CAC, Section 6731 (a)		
() CAC, Section 6731 (b)	3. Total FY 2022 Fund Mark (1 & 2)	\$ <u>122,414</u>
() CAC, Section 6731 (c)		

Authorized Signature:

(Claimant's Chief Administrator or Finance Officer)

Joe Hoefgen, City Manager

(Print name and title)

CONDITION OF APPROVAL:

Approval of this claim and payment by the County Auditor to this claimant are subject to monies being available and to the provisions that such monies will be used only in accordance with the terms and conditions set forth by this claim and SCAG Allocation Instructions.

Table L-1 (A)
HISTORICAL AND PROJECTED FINANCIAL STATUS
SOURCE AND APPLICATION OF CAPITAL FUNDS
BY YEAR OF EXPENDITURE (\$ 000)

MODE:

<i>SOURCE OF CAPITAL FUNDS:</i>	2020 Audited	2021 Actual	2022 Planned
FEDERAL CAPITAL GRANTS			
FTA Sec. 5309 (Sec. 3)	N/A	N/A	N/A
FAU Grants	N/A	N/A	N/A
FTA Sec. 5307(Sec. 9)	895	154	3566
Other Federal (Assume 80/20 match) (Specify source)	N/A	N/A	N/A
STATE CAPITAL GRANTS AND SUBVENTIONS			
TDA (ART 4) current from unallocated	N/A	N/A	N/A
TDA from prior years reserves	N/A	N/A	N/A
TDA (ART 8)	N/A	N/A	N/A
STA current from unallocated	N/A	N/A	N/A
STA from prior years reserve	1	1	0
Other State (PTMISEA)	137	0	0
Other State (SB1-SGR)	N/A	28	40
LOCAL CAPITAL GRANTS			
System Generated	N/A	N/A	N/A
General Fund	N/A	N/A	N/A
Prop. A Local Return	58	29	851
Prop. A Discretionary Carry Over	N/A	N/A	N/A
Prop. C Discretionary	N/A	N/A	N/A
Prop. C Local Return	N/A	N/A	N/A
Prop. C 5% Security	N/A	N/A	N/A
Prop. C Other (Specify)	N/A	N/A	N/A
Measure R Bus Capital	18	9	39
Measure R 15% Local Return	N/A	N/A	N/A
Prop 1B PTMISEA Bridge Funds	115	N/A	160
Prop 1B Transit Security Bridge Funds	N/A	N/A	N/A
Other Local (Specify)	N/A	N/A	N/A
TOTAL CAPITAL REVENUE	1,224	221	4656
TOTAL CAPITAL EXPENSES	1,224	221	4656

Table L-1 (B)

**HISTORICAL AND PROJECTED FINANCIAL STATUS
SOURCE AND APPLICATION OF OPERATING FUNDS**

BY YEAR OF EXPENDITURE (\$ 000)

SOURCE OF OPERATING FUNDS:

2020 Audited	2021 Actual	2022 Planned
-------------------------	------------------------	-------------------------

FEDERAL CASH GRANTS AND REIMBURSEMENTS

FTA Sec. 5307 (Sec. 9) Operating (Cares Act)	172	734	0
FTA Sec. 5307 (Sec. 9) Operating (CRRSAA)	N/A	N/A	828
CMAQ (Operating)	N/A	N/A	N/A

STATE CASH GRANTS AND REIMBURSEMENTS

TDA Current from unallocated	806	663	823
STA Current from unallocated	262	192	122
Other State (SB1-SGR)	10	9	15

LOCAL CASH GRANTS AND REIMBURSEMENTS

Passenger Fares	237	0	0
Special Transit Service	N/A	N/A	N/A
Charter Service Revenues	N/A	N/A	N/A
Auxiliary Transportation Revenues	1	N/A	N/A
Non-transportation Revenues	N/A	N/A	N/A
Prop. A %40 Discretionary	500	500	521
Prop. A %25 Local Return	759	1323	2726
Prop. A Incentive fund	11	3	0
Prop. A Interest	N/A	N/A	N/A
BSIP	4	4	4
TSE	N/A	N/A	N/A
Base	N/A	N/A	N/A
MOSIP	152	149	150
Prop. C %40 Discretionary	30	22	27
Prop. C %20 Local Return	N/A	N/A	N/A
Prop. C %5 Security	31	24	32
Prop. C Other (Interest)	N/A	N/A	N/A
Measure R 20% Bus Operations	333	254	330
Measure M 20% Bus Operations	344	250	329
Other Local: Reimbursement from other Cities	N/A	N/A	N/A

TOTAL OPERATING REVENUES	3652	4127	5907
TOTAL OPERATING EXPENSES	3652	4127	5907

TABLE 2
TDA

Total Capital Funds Reserved
for Future Payment (Total A & B) :\$ _____

<u>PROJECT</u> <u>DESCRIPTION</u>	(A) ANTICIPATED EXPENDITURE <u>FY 2023</u>	(B) ANTICIPATED EXPENDITURE <u>FUTURE YEARS</u>
--------------------------------------	---	--

TABLE 2 (B)
STA

Total Capital Funds Reserved
for Future Payment: \$ _____

<u>PROJECT</u> <u>DESCRIPTION</u>	(A) ANTICIPATED EXPENDITURE <u>FY 2023</u>	(B) EXPENDITURE <u>FUTURE YEARS</u>
--------------------------------------	---	---